

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

04/12/2001 CVRACHA 00000019 501192 09715764

01 FC:202	355.00 CN
02 FC:202	60.00 CN
03 FC:202	140.00 CN

04/12/2001 CVRACHA 00000019 501192 09715764

01 FC:202 40.00 CN

PTO-1556  
(5/87)

Express Mail No. EK381377US

Docket No. 13761-739

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Heinz-Josef Lenz  
Sheeja Thankappan Pullarkat  
Yi Ping Xiong

Serial No.:

Filing Date:

Title: GENOMIC POLYMORPHISM FOR PREDICTING THERAPEUTIC RESPONSE

UTILITY PATENT APPLICATION & FEE TRANSMITTAL  
(for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application

- ☒ Application [ 0 ] page(s) of Cover Sheet  
[ 17 ] page(s) of Specification  
[ 4 ] page(s) of Claims (34 claims)  
[ 1 ] page(s) of Abstract  
[ 1 ] sheets of Drawings [ ] Formal [ X ] Informal
- ☐ Declaration and Power of Attorney [ ] page(s)  
[ ] Unexecuted [ ] Executed [ ] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Nucleotide and/or Amino Acid Sequence Submission:  
[ ] page(s) of Sequence Listing (paper copy)  
[ ] disk(s) containing Sequence Listing (computer readable copy)  
[ ] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix \_\_\_\_\_

II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part  
of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_. (35 USC §120)
- ☒ This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial  
No. 60/165,574 filed November 15, 1999. (Provisional Application)
- ☒ The entire disclosure of the prior application referenced above, is considered as being part of the application  
filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC §119 of Prior Application No. \_\_\_\_\_  
filed \_\_\_\_\_.
- ☐ Certified Copy of Priority Document [ ] page(s)
- ☐ English Translation of Priority Document [ ] page(s)

**IV. Accompanying Documents**

- ☐ Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ X ] Verified Small Entity Statement was previously filed in Prior Application No. 60/165,574 on November 15, 1999.
- ☐ Information Disclosure Statement [ ] page(s) of PTO-1449 [ ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ Other \_\_\_\_\_ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

**V. Fee Calculation**

<input type="checkbox"/> The following fees are submitted:					CALCULATIONS
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$	
<b>BASIC NATIONAL FILING FEE</b>		\$ 710.00	\$ 355.00	<b>\$355.00</b>	
<b>EXTRA CLAIMS FEE</b>					
<b>CLAIMS</b>	<b># FILED</b>	<b># EXTRA</b>	<b>RATE</b>	<b>RATE</b>	
Total Claims	34 - 20 =	14	× \$18.00	× \$ 9.00	
Independent claims	5 - 3 =	2	× \$80.00	× \$40.00	
<b>MULTIPLE DEPENDENT CLAIM(S)</b>					
<input type="checkbox"/> Yes <input type="checkbox"/> No		\$270.00	\$135.00	\$	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00				\$	
<b>OTHER FEES</b> _____ (specify)				\$	
<b>OTHER FEES</b> _____ (specify)				\$	
<b>TOTAL FEES =</b>				<b>\$561.00</b>	

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1192, Docket No. 13761-739, in the amount of \$561.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1192, Docket No. 13761-739. *A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

NAME

November 15, 2000

DATE

43,999  
REGISTRATION NUMBER